SEC Form 4 FORM 4

Instruction 1(b)

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| - 1 | | | | | | | |
|-----|----------------------|-----------|--|--|--|--|--|
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person' (Check all applicable) MongoDB, Inc. [MDB] MERRIMAN DWIGHT A X Director 10% Owner Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) below) below) (Last) (First) (Middle) 08/03/2020 C/O MONGODB, INC. 1633 BROADWAY, 38TH FLOOR 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) Х Form filed by One Reporting Person 10019 NEW YORK NY Form filed by More than One Reporting Person (City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| Table 1- Non-Derivative decurrices Acquired, Disposed of, of Derichelary Owned | | | | | | | | | | | |
|--|--|---|---|---|--------|---------------|--------------------------|---|---|-----------------------------|--|
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Ownership | |
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) | |
| Class A Common Stock | 08/03/2020 | | S ⁽¹⁾ | | 3,200 | D | \$212.04 ⁽²⁾ | 1,541,268 | D | | |
| Class A Common Stock | 08/03/2020 | | S ⁽¹⁾ | | 700 | D | \$212.78 ⁽³⁾ | 1,540,568 | D | | |
| Class A Common Stock | 08/03/2020 | | S ⁽¹⁾ | | 1,000 | D | \$214.37 ⁽⁴⁾ | 1,539,568 | D | | |
| Class A Common Stock | 08/03/2020 | | S ⁽¹⁾ | | 2,200 | D | \$215.51 ⁽⁵⁾ | 1,537,368 | D | | |
| Class A Common Stock | 08/03/2020 | | S ⁽¹⁾ | | 800 | D | \$216.4(6) | 1,536,568 | D | | |
| Class A Common Stock | 08/03/2020 | | S ⁽¹⁾ | | 1,110 | D | \$218.35(7) | 1,535,458 | D | | |
| Class A Common Stock | 08/03/2020 | | S ⁽¹⁾ | | 1,300 | D | \$219.59 ⁽⁸⁾ | 1,534,158 | D | | |
| Class A Common Stock | 08/03/2020 | | S ⁽¹⁾ | | 190 | D | \$220.23 ⁽⁹⁾ | 1,533,968 | D | | |
| Class A Common Stock | 08/03/2020 | | S ⁽¹⁾ | | 3,500 | D | \$225.54(10) | 1,530,468 | D | | |
| Class A Common Stock | 08/03/2020 | | S ⁽¹⁾ | | 900 | D | \$218.49(11) | 603,996 | I | By Trust ⁽¹²⁾ | |
| Class A Common Stock | 08/03/2020 | | S ⁽¹⁾ | | 700 | D | \$219.37 ⁽⁸⁾ | 603,296 | I | By Trust ⁽¹²⁾ | |
| Class A Common Stock | 08/03/2020 | | S ⁽¹⁾ | | 1,400 | D | \$225.58 ⁽¹³⁾ | 601,896 | I | By Trust ⁽¹²⁾ | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deriv Secu Acqu (A) of Dispo of (D) | rr osed)) r. 3, 4 | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---|---|--|---|------------------------------|---|--|-----------------------------|---------------------|---|-------|---|--|--|--|--|
| | | | Cod | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. The transactions were pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.

2. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$211.52 to \$212.50, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote

3. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$212.55 to \$213.01, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

4. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$214.00 to \$214.82, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

5. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$215.00 to \$215.96, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote

6. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$216.04 to \$217.00, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges

set forth in this footnote.

7. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$218.03 to \$218.75, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

8. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$219.05 to \$220.00, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

9. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$220.14 to \$220.32, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

10. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$225.01 to \$225.69, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

11. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$218.03 to \$219.00, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

12. These shares are held by The Dwight A. Merriman 2012 Trust for the benefit of the Reporting Person's children.

13. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$225.57 to \$225.61, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Remarks:

<u>/s/ Sophie Hubscher,</u> <u>Attorney-in-Fact</u>

08/05/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.