SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

1. Name and Addr	ress of Reporting F		2. Issuer Name and Ticker or Trading Symbol MongoDB, Inc. [MDB]	(Checl	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
		<u></u>		X	Director	10% Owner				
(Last) C/O MONGOI	(First) DB, INC.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/02/2020	1	Officer (give title below)	Other (specify below)				
1633 BROADWAY, 38TH FLOOR		OOR	4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check A							
				Line)	1 01 11					
(Street)				X	Form filed by One Re	porting Person				
NEW YORK	NY	10019	_	Form filed by More than One Reporting Person						
(City)	(State)	(Zip)								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Class A Common Stock	11/02/2020		S ⁽¹⁾		2,900	D	\$224.51 ⁽²⁾	1,499,568	D		
Class A Common Stock	11/02/2020		S ⁽¹⁾		600	D	\$225.59 ⁽³⁾	1,498,968	D		
Class A Common Stock	11/02/2020		S ⁽¹⁾		9,200	D	\$226.93 ⁽⁴⁾	1,489,768	D		
Class A Common Stock	11/02/2020		S ⁽¹⁾		600	D	\$227.82 ⁽⁵⁾	1,489,168	D		
Class A Common Stock	11/02/2020		S ⁽¹⁾		700	D	\$229.35(6)	1,488,468	D		
Class A Common Stock	11/02/2020		S ⁽¹⁾		600	D	\$224.41 ⁽⁷⁾	595,296	I	By Trust ⁽⁸⁾	
Class A Common Stock	11/02/2020		S ⁽¹⁾		200	D	\$225.01 ⁽⁹⁾	595,096	I	By Trust ⁽⁸⁾	
Class A Common Stock	11/02/2020		S ⁽¹⁾		2,200	D	\$227.01(10)	592,896	Ι	By Trust ⁽⁸⁾	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Amount of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)			
						Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The transactions were pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on April 8, 2020.

2. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$224.11 to \$225.01, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

3. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$225.29 to \$225.85, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

4. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$226.42 to \$227.27, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

5. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$227.61 to \$227.88, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

6. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$229.02 to \$229.98, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

7. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$224.00 to \$224.67, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

8. These shares are held by The Dwight A. Merriman 2012 Trust for the benefit of the Reporting Person's children.

9. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$225.00 to \$225.01, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

10. The price reported is a weighted average sales price. The shares were sold in multiple transactions at prices ranging from \$227.00 to \$227.12, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Remarks:

<u>/s/ Sophie Hubscher,</u> <u>Attorney-in-Fact</u>

11/04/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.